

For official use only:

Customer Name

Customer No.

PD F 5236 E

Department of the Treasury

Bureau of the Public Debt

(Revised March 2003)

OMB No. 1535-0069

CLAIM FOR PROCEEDS OF A FISCAL AGENCY CHECK

IMPORTANT: Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime that is punishable by fine and/or imprisonment.

PRINT IN INK OR TYPE ALL INFORMATION

1. RETURN THIS FORM TO:

- ☐ Bureau of the Public Debt, PO Box 1328, Parkersburg, WV 26106-1328.
☐ Bureau of the Public Debt, PO Box 2186, Parkersburg, WV 26106-2186.
☐ Bureau of the Public Debt, PO Box 426, Parkersburg, WV 26106-0426.
☐ Federal Reserve Bank of _____

2. CHECK IDENTIFICATION: (To be completed by one of the above agencies.)

Check No.: _____ Date: _____ Amount: _____
Check Inscription: _____
(Name/Names)

(Address)
FRB Checking Account No: _____
Issuing FRB: _____
Payment Reason: _____
Investor Account No. (if applicable): _____ Check Color: _____

3. SUPPORTING INFORMATION – In support of your claim for the proceeds of the above-described fiscal agency check, you must provide the information requested in this item.

- a. Did you receive this check? ☐ Yes ☐ No b. Did you sign your name on this check? ☐ Yes ☐ No
c. Did you cash this check? ☐ Yes ☐ No d. Was this check cashed with your permission? ☐ Yes ☐ No
e. Did you deposit this check in a bank, credit union, or other organization? ☐ Yes ☐ No
f. Did someone else deposit this check to an account that you could use? ☐ Yes ☐ No
g. Did you receive any money or benefit in any way from this check (i.e., household expenses, child support, etc.)?
☐ Yes ☐ No **If Yes, explain and include amount, if known.**

- h. Is your present name different from that on the face of the check? ☐ Yes ☐ No **If Yes, explain why.**

- i. If you're making a claim for this check and it is not made out to you, show your relationship to the payee and explain why he/she can't sign. (Appropriate documentation must be provided – see Item 3i in the Instructions.)

- j. Did you ever live or receive mail at the address on the front of this check? ☐ Yes ☐ No

- k. Provide the mailing address you used on the date the check was issued.

- l. If you moved, did you advise the Post Office and the agency which authorized payment? ☐ Yes ☐ No

- m. If anyone other than you had the opportunity to receive your mail, provide his/her name and address.

n. If you lost any identification which could have been used by someone else to cash the check, explain.

o. If you have any information concerning the cashing of the check, explain.

p. Where did you usually cash or deposit your checks at the time this check was cashed?

4. SIGNATURE(S):

You must wait until you are in the presence of a certifying officer to sign this form.

Sign here:

(Payee's Signature)

(Number and Street or Rural Route)

(City)(State)(ZIP Code)

(Social Security Number)

(Daytime Telephone Number)

(E-Mail Address)

Sign here:

(Second Payee's Signature)

(Number and Street or Rural Route)

(City)(State)(ZIP Code)

(Social Security Number)

(Daytime Telephone Number)

(E-Mail Address)

To assist in handwriting comparisons, please sign your name three additional times.

(Payee's Signature)

(Payee's Signature)

(Payee's Signature)

(Second Payee's Signature)

(Second Payee's Signature)

(Second Payee's Signature)

**Certifying Officer – Each individual must sign in your presence.
Complete the certification and affix your stamp or seal. Brokers must use a Medallion Stamp.**

I CERTIFY that _____ ,
whose identity is known or was proven to me, personally
appeared before me this _____ day of _____ ,
(Month)
_____, at _____ ,
(Year)(City)(State)
and signed this form.

(OFFICIAL STAMP OR SEAL) _____
(Signature of Certifying Officer)

(Title of Certifying Officer)

(Address)

For Notaries: My
Commission Expires _____

I CERTIFY that _____ ,
whose identity is known or was proven to me, personally
appeared before me this _____ day of _____ ,
(Month)
_____, at _____ ,
(Year)(City)(State)
and signed this form.

(OFFICIAL STAMP OR SEAL) _____
(Signature of Certifying Officer)

(Title of Certifying Officer)

(Address)

For Notaries: My
Commission Expires _____

INSTRUCTIONS

"You" on this form refers to the payee(s) or his/her/their authorized representative.

USE OF FORM – You can use this form to make a claim for the proceeds of a Fiscal Agency check which is shown to have been paid but for which you never received the funds. If it is later determined that you cashed the original and any settlement check(s), the overpayment must be properly refunded. Failure to do so could result in legal action. Be sure to include the Check Identification information from Item 2 with any refund. (You may use the area at the bottom of Page 4 to document this information for your records. You may also want to keep a copy of the completed form.)

Before completing this form:

- ✓ Examine the copy of the cashed check, especially the handwritten and/or stamped endorsements on the back. Pay particular attention to the date of the check.
- ✓ If the copy of the cashed check is not the check you are missing, or if you have a question about the check amount, contact the appropriate Federal Reserve Bank or the Bureau of the Public Debt (whichever office provided you with this form).
- ✓ If the copy of the cashed check shows that the check was deposited at your bank, credit union, savings and loan, or other financial institution, take the copy of the check to that institution and ask them to verify that your account was not credited. If you are unable to settle this matter with your financial institution, complete and return this form and the copy of the cashed check.
- ✓ If you signed the check or the check was cashed with your permission or if, for any other reason, you do not want to make a claim for the amount of the check, do NOT return this form.
- ✓ If you have already received reimbursement for the check, do NOT return this form.

If you did not sign the check, did not give someone else permission to cash the check, or did not benefit in any way from the redemption of the check, complete and sign this form, as specified below.

COMPLETION OF FORM – Print clearly in ink or type all information requested. If more space is needed for any item, use a plain sheet of paper and attach it to this form.

ITEM 1. This item is completed by the Servicing Office, advising you where to return the completed form.

ITEM 2. This item is completed by the Servicing Office, identifying the check in question.

ITEM 3. Furnish all requested information:

- a. Indicate whether you received the check.
- b. Indicate whether you signed your name on the check.
- c. Indicate whether you cashed the check.
- d. Indicate whether the check was cashed with your permission.
- e. Indicate whether you deposited the check in a bank, credit union, or other organization.
- f. Indicate whether someone else deposited the check to an account that you can use.
- g. Indicate whether you received any money or benefit in any way from this check. If Yes, fully explain and include the amount received, if known.
- h. Indicate whether your present legal name is different from that on the face of the check. If Yes, provide your current legal name and explain how the change of name occurred.
- i. If you're making a claim for this check and you are not a payee, show your relationship to the payee and explain why he/she can't sign this form. Appropriate documentation must be submitted with the form (i.e., a certified copy of the Letters of Guardianship or Conservatorship, a certified copy of Letters of Administration, a copy of the Power of Attorney signed in accordance with State law, etc.)
- j. Indicate whether you ever lived or received mail at the address shown in the check inscription.
- k. Provide the complete mailing address you used on the date the check was issued (the date is shown in Item 2).
- l. If you have moved since the check was issued, indicate whether you advised the Post Office and the agency which authorized the payment.

- m. If someone other than you had the opportunity to receive your mail, provide his/her name and address.
- n. If you lost any identification which could have been used by someone else to cash the check, provide a full explanation, including the type(s) of identification.
- o. Provide any information you have concerning the cashing of the check.
- P. Provide the name and address of the financial institution where you usually cashed or deposited your checks at the time the check was issued (the date is shown in Item 2).

ITEM 4. Sign the form in ink in all the spaces provided and furnish your complete home address, social security number, daytime telephone number, and e-mail address, if applicable. If there are two payees, both must sign the form. Each payee's signature must be certified (see **CERTIFICATION** below).

CERTIFICATION – You must appear before and establish identification to the satisfaction of an authorized certifying officer, a notary public, or other officer authorized by law to administer oaths and sign the form in the presence of the officer. The certifying officer must complete the certification forms provided and affix the seal or stamp which is used when certifying requests for payment. Brokers must use a Medallion Stamp. A notary public or similar officer must also show the expiration date of his/her commission. Authorized certifying officers are available at banking institutions, including credit unions, in the United States. For a complete list of such officers see Department of the Treasury Circular Nos. 300 and 530, current revisions, and Public Debt Series Nos. 3-80 and 2-98.

WHERE TO SEND – Send the completed form and the copy of the cashed check to the office shown in Item 1. If no box is checked in Item 1, send the form to the Servicing Office which sent it to you.

NOTICE UNDER THE PRIVACY AND PAPERWORK REDUCTION ACTS

We're asking for the information on this form to assist us in processing your securities transaction requests. Our authority comes from 31 U.S.C. Ch. 31 which authorizes the Treasury Department to borrow money to pay the public debt of the United States. Also, 26 U.S.C. 6109 requires us to use your SSN on certain forms when we report taxable income to IRS. It's voluntary that you provide the requested information, but without it, we may not be able to process your transaction requests. Information concerning your securities holdings and transactions is considered confidential under Treasury regulations (31 CFR Part 323) and the Privacy Act. However, the following routine uses of this information may include disclosure to the following persons or entities: agents and contractors who help us manage the public debt; others entitled to the securities or payment; agencies (including disclosure through approved computer matches) determining eligibility for benefits, finding persons we've lost contact with, or helping us collect debts; agencies for investigations or prosecutions; courts, counsel, and others for litigation and other proceedings; a Congressional office asking on your behalf; and as otherwise authorized by law.

We estimate it will take you about 30 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Public Debt, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to the above address; send to the correct address shown in Item 1.**

(Detach and keep for your records)

Check No.: _____	Date: _____	Amount: _____
Check Inscription: _____		
(Name/Names)		

(Address)		
FRB Checking Account No: _____		
Issuing FRB: _____		
Payment Reason: _____		
Investor Account No. (if applicable): _____	Check Color: _____	